NATIONAL SCIENCE FOUNDATION WASHINGTON, D.C. 20550

JUN 7 1985

√Dr. Rainer Weiss
Department of Physics
Massachusetts Institute of Technology
Cambridge, Massachusetts 02139

Dear Dr. Weiss:

I regret to inform you that the National Science Foundation is unable to support your proposal entitled "Feasibility and Design Studies for a Laser Interferometer Gravitational Wave Detection System (Physics)," PHY-8504837.

In evaluating each proposal submitted to the Foundation, a number of factors are considered. They include the following: the scientific merit of the proposal and its merit in relation to other proposals received by the Foundation in the same general field of science; the relation of the proposal to contemporary research in the field; the distribution among fields of science within the program of the Foundation; the geographical distribution of research supported by the Foundation; and finally, the funds available for research support. Thus, many excellent proposals cannot be supported for reasons aside from intrinsic merit, although this is an important consideration.

In accordance with Foundation policy, I am enclosing copies of the reviews of your proposal (with identifying information removed). They are intended for your personal use only and are not available to other parties. I sincerely hope these reviews will be useful to you in your research endeavors.

Even though we are unable to support this proposal, we would be pleased to consider other research proposals which you might wish to submit. If you have any questions, please contact Richard Isaacson, Program Director for Gravitational Physics, (202) 357-7979.

Sincerely yours,

Marcel Bardon

Director, Division of Physics

Tdentical letter to:
Dr. Ronald W. Drever
Department of Physics
California Institute of Technology
Pasadena, California 91125

Enclosures

Copy to: Paul H. Quinn, Associate Director Office of Sponsored Programs

PHY-8504837	California Institute of	Technology	01/25/84
PRINCIPAL INVESTIGATOR		NSF PROGRAM	
Ronald Drever		Gravitational Physics	
			•
Feasibility and De	sign Study for a Gravitation	nal Radiation Detector (Physi	cs)
	-	n the back of this review form. Conti	nue on additional
sheet(s) as necessary.	Research Performance	Competence	
gravitational physi indicate. Professo	cs, as the many publication or Weiss is not known to me of Drever, although he has w	of instrumental innovation is and the achievements of the personally and his publication two excellent reviews	e Glasgow group on record is not a
other institutions compared to accoust discipline than det problems in achievi in the proposal; i length optics will ferometers for the and (iii) the light these novel technic	as described in the proposa ic bars must make it more sectors working at a preseleng sensitivities of the leven particular, I feel that (be difficult; (ii) the efficiency of local extraneous recycling scheme may be qui	nt the most promising and is 1. The broad frequency resputable for the exploratory cted frequency. The very suel sought cannot be consider i) the testing of the extremiciency of the intermediate lus noise may not be straight ite difficult to implement. In the stimulus generated by	onse of the system phases of a new bstantial technica ed fully exposed ely long focal ength interforward to achieve However, none of
for project control the design study a c should be attempted arise specific topic	, scheduling and work packag detailed breakdown of the so . There will be a great dea cs requiring detailed study	I plan outlined in the propose ge breakdown are sound. Dur- cientific support roles for a al of overlap, necessarily, I as the project develops and who is carrying out the work	ing the course of MIT and CALTECH but there may the project
I rate the proposal	as Excellent under Criterio	on 1.	
Intrinsic Merit of t	the Research		
Particle Physics, Fi unification of the f dicament of Gravitat a sounder stepping o	eld Theory and Cosmology as fundamental forces is in mar tion, detection of gravitati off point for theoretical ex	to impact Astronomy, Astrophys well as the study of Graviday ways held up by the unquar onal quanta even in large nutrapolation. The growth of es whose radiation is derived	tation itself. Th ntizable pre- umbers will provid high energy
OVERALL EXCELLENT	VERY GOOD GOO	D FAIR POOR	

relativistic gravitational potential, provides a strong link to gravitational wave astronomy. Both disciplines will study important and complementary aspects of condensed matter. The number of recent publications predicting large gravitational wave fluxes from γ -ray and X-ray sources bears witness to the important position which should be occupied by this new astronomy once detectors routinely achieve the sensitivities suggested in this proposal.

(An unsolicited and unbiased comment on the importance of gravitational theory may be found in Nature Vol.312, p588, 13 Dec. 1984, top of middle column.)

I rate the proposal as Excellent under Criterion No.2.

Utility of Research

Undoubtedly the techniques developed to achieve the design goals proposed will find application elsewhere, one cannot predict where or when. In this regard I do not rate this proposal as especially different from other front ranking scientific endeavours (although it might be argued that the gravitational wave observatory will require an unusual share of ingenious solutions to the many technical problems evident at this stage).

I rate the proposal as Very Good under Criterion No.3

I do not feel competent to comment on the quality of the proposal under Criterion No.4, except to repeat the obvious, which is that the goals of this ambitious project should be relatively easily understood by interested non-scientists; it has therefore the potential of being a cultural as well as a technical and scientific milestone.

PROPOSAL,NO.	INSTITUTION		PLEASE RETURN BY
·PHY-8504837		Technology	01/25/84
PRINCIPAL INVESTIGATO	OR .	NSF PROGRAM	
Ronald Drever		Gravitational Physics	
TITLE	•		
Feasibility and	Design Study for a Gravitati	onal Radiation Detector (Phy	sics)
Please evaluate this p	proposal using the criteria presented	on the back of this review form. Cor	itinue on additional
sheet(s) as necessary	•		

Tl	ne detection of gravitat	ional radiation has been	n a
goal of	f many groups since the	first experiments of Jo	e
Weber.	I have several reserva	tions with the proposal	ior a
Feasibi	llity Study for a Gravit	ational Wave Detector.	These
reserva	ations fall into two bro	ad categories:	4.4
1	The estimates of the	gravitational wave flux	
	ig the earth from any ty	the of source are still	quite
reacuti	ain. For each possible	type of source. the	,
uncert	ainty comes from our ign	orance of some paramete	rof
	nerator. The uncertaint	y in the flux from a pu	lsar,
for av	ample, arises from our i	onorance of the ellipti	city.
The defi	tection of gravity waves	from a pulsar would, i	n first
order	therefore simply fix th	e ellipticity. Likewis	e, the
etrang	th of a gravitational wa	ve from a supernova dep	ends on
the sh	ape of the core in fa	ict on the deviation of	the
ehane "	from spherical symmetry.	For other generators,	the
energy	and the detailed shape	of the waveform depend	in a
compli.	cated way on the asymmet	rv of the system. It i	s not
o by four	e that any proposed expe	riment will have enough	signar
to 201	co to∵nermit an inversio	on of the shape of the p	uise to
	ing the etructure that D	produced it. Even ii su	.cn an
invers	ion were possible, it is	s not clear to me exacti	y what
the as	trophysical significance	e of a determination of	Such a
mechan	ical quantity would be.	Will a knowledge of th	ie
ellint	icity of a pulsar affect	cour understanding of 1	.ts
dynami	cs? I am not competent	to answer that question	, and I
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therefore rely on the justification in the proposal. I do not find any such justification. Indeed, the uncertainty in the magnitude of the parameter that determines the emitted gravity wave power may simply reflect the fact that the dynamics of the system are not very sensitive to its value and hence that it is not very important.

A second justification for the effort suggests that the detection of gravitational waves from the coalescence of a neutron-star binary, for example, is a useful result in and of itself. What would such a result tell us? That such events exist? That gravitational waves exist? Are there any theories which are otherwise viable which would be ruled out as a result of these experiments? Conversely, if gravitational waves were not detected, would that signify anything except that the sources were weaker or more symmetric than was at first supposed?

A third justification suggests that gravitational waves will open a "new window" just as radio astronomy did. Although this is certainly possible, I do not find the argument compelling. The various flavors of electromagnetic astronomy (optical, x-ray, radio, etc.) originate from regions having very different temperatures and levels of excitation, and different sources (or different parts of the same source) might only be observable using one or another of these methods. Gravitational radiation, on the other

hand, arises from large scale dynamical anisotropies. While such effects are no doubt interesting, I find no reason to believe that they are first-order effects whose understanding is crucial to stellar dynamics.

I find a discussion of these sorts of issues almost totally absent from the proposal. Instead I find a catalogue of things that might be done given a receiver of a certain sensitivity without any justification for why such measurements are worth \$50 M. I am not suggesting that there may not be any good reasons for detecting gravitational radiation, but I find the discussion in the proposal totally inadequate to the task of convincing me that it is worth the money and effort that are being expended.

2. I found it very difficult to evaluate the technical part of the proposal for the following reason:

It is obvious that neither Drever nor Weiss is yet in a position to design a complete system. They are therefore trying to build in as much flexibility as possible both into the mechanical design and into what they propose to do. Although this may be an inevitable consequence of the state of the program, it provides almost nothing for a referee to grab on to. The proposed effort has so many bifurcations that it is difficult to have a clear picture of what is

planned. For example, how many of the ideas proposed on pp. 33, ff. will actually be tried? How can I deal with a lengthy catalogue preceded by (p. 33), "... their promise of enhancing the large antenna performances is sufficiently great that SOME (my emphasis) of them will be the subject of major research efforts in the next few years." Which ones? How can I evaluate either the level of effort or the budget without knowing this?

The proposed antennas seem to me to be non-trivial to build from a purely engineering standpoint. I realize that many of the issues that concern me are presumably answered in the suitably thick engineering studies, but a short discussion of the following points would have been useful:

- a. How will the system be cooled?
- b. How will the pipes be checked for leaks?
- c. How can the system ever reach a pressure of 1E-8 without bakeout? If bakeout is planned, how will it be done?

Although a detailed discussion of the antenna design is perhaps more appropriate in the review of the individual proposals, it is clear that a considerable amount of development work must be done in many different areas,

including high power lasers, optical components, etc. (p. 69 ff.). Given the need for this development, and in the light of my other reservations, I recomment that the proposal for a Feasibility Study should not be funded in its current form.

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PROPOSAL EVALUATION FORM

NSF Form 1 (9/83)
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PROPOSAL NO.	INSTITUTION			PLEASE RETURN BY 01/25/84
PHY-8504837		nstitute of Technology		01/23/04
Ronald Drever			ational Physics	
TITLE				
Feasibility and D	esign Study for	a Gravitational Radia	tion Detector (Phy	/S1CS)
Please evaluate this pro sheet(s) as necessary.	pposal using the crit	eria presented on the back o	of this review form. Cor	ntinue on additional
· ·		ntial part of an outsta		
program. The sear	ch for gravitat	ional radiation from a	number of possibl	le astronomical
sources is as scien	ntifically excit	ting and fundamental as	s anything that is	being done in
physics today. Wh	ile there is sub	ostantial uncertainty a	about the frequenc	y of
observable events	for each of the	types of sources which	n have been sugges	sted in the 10
to 104 Hz frequenc	y range, I beli	eve the chances are con	nsiderably above 5	0% that one or
several types will	be observable i	with the proposed appar	ratus in the next	6 or 7 years.
Even if this doesn	t happen, the	sensitivities which app	pear to be achieve	eable for
different types of	sources are hig	gh enough so that the o	corresponding uppe	er limits would
give valuable new	astrophysical in	formation. Many source	ces with longer pe	eriods which
almost certainly w	ould give observ	vable signals in future	≥ laser heterodyne	space
experiments are ex	pected to exist,	, but it wouldn't make	sense to look for	them before
carrying out the p	roposed ground e	experiments.		
The preparation	on for the feast	ibility and design stud	iies covered in th	nis proposal
have been carried	out in a thoroug	gh and careful manner,	leading to a prop	oosal which is
very sound technica	ally. Many ques	stions still have to be	e settled during t	the design
studies, like the	vacuum level ach	nieveable with particul	lar pipe materials	s, gate valve
arrangements, and	low temperature	bakeout, and the conc	lusions will affec	t the final
performance. Howe	ver, the only wa	y to settle these ques	stions is through	the planned
		oratory experiments at	t MIT to determine	the results
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OVERALL EXCELLEN	T VERY GO	OD	FAIR POOR	

of the preliminary choice for the vacuum system design will add strong confidence in the final approach chosen.

Other technical issues exist which contribute substantial uncertainty in the final accuracy, including particularly the achieveable seismic isolation level, the laser power which can be used, and the efficiency with which light can be recycled. A lot more can be learned about these issued through the planned complimentary work at Cal Tech and MIT during the design studies, and comments on these are given in my reviews of those proposals. However, I believe there already is enough information available to support reasonable confidence in achieving within the next 6 or 7 years something like the geometrical mean of the curves given in Figs. 2.2-2.4 for the possible first experiments and for possible later experiments, at least for frequencies of 50 Hz and higher. This is sufficient sensitivity so that I believe going ahead quite rapidly with design of the gravitational wave detection facilities is strongly justified by the overall scientific importance of the results which are likely to be obtained.

Although both the expected sensitivity level and the corresponding expected frequency of observable events are to some degree subjective judgements where reasonable people can differ by an order of magnitude, my own judgment is that the probable scientific returns provide strong justification for the requested level of support. The plans for carrying out the feasibility and design studies seem very sound, and the proposal deserves an excellent rating. The PI's are among the few very best experimental physicists in the world, and their different backgrounds and viewpoints complement each other strongly. The inclusion of Kip Thorne on the Steering Committee is an excellent mechanism for making sure that any scientific disagreements will get resolved in a timely fashion.

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Form 1 (9/83)

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Ronald Drever	dia violation and	
TLE	a	ton (Physics)
Feasibility and De	esign Study for a Gravitational Radiation Detec	tor (rilysres)
heet(s) as necessary.	posal using the criteria presented on the back of this review building of the best possible gravitational wa	
very worthwhile	goal. The physicists involved are of the highe	est quality. The five
kilometer system	should be built. This is a very major underta	ıking, and I think
the maximum use	should be made of the present 40 meter system.	All possible components
of the final sys	tem should be tested in the 40 meter system, an	nd it should be used in
the interim so s	gravitational wave observatory. I think the s	superstructure of this
the Interim as a	omewhat complex for this stage of development a	and reflects the type
proposal seems s	omewnat complex for this stage of development of	ACA flight programs.
of organization	and review that is used for more complicated NA	ASA TIIGHT programs.
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OVERALL RATING:

EXCELLENT

PROPOSAL EVALUATION FORM

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Common evaluation	of the following NSF proposals:		
PHY-8504836	Interferometric Broad Band Gravi	tational Antenna. R.	Weiss, MIT
PHY-8504136 R. Drever, CI	Investigations in Experimental G	ravity and Gravitation	al Radiation.
	Feasibility and Design Studies fetection System. R. Drever, CIT		ter Gravita≥
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	investigators appear to be	highly competent and	i experienced Th
	of each of the laboratories		
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This is an exceedingly ambitious program for such a small core group with its limited experience, particularly with regard to operating a relatively large facility. The whole program is couched in terms of an elaborate national or international facility which would accommodate as many as 20 different experiments. This is too grandiose a project for the current tenuous state of the capability of the instrumentation and an uncertain physics output. By making a much more modest approach one can verify both the ability to make the detectors work with the desired sensitivity and to make observations demonstrating the usefulness of the physics results. After this demonstration and the development of a larger core of experienced personnel, one can then go ahead with a more ambitious proposal building on this experience.

6. An alternate mode of proceeding:

I think that the scope of the eventual construction proposal should be scaled down or phased in some way. This would also have some impact on the cost of the joint design proposal. I feel that to initiate now the construction of such an elaborate facility as proposed is unwise both from the point of view of being premature, and also from the point of view of flexibility and the capability to respond to the demands of the next generation of development.

If I understand it, the ultimate construction cost is primarily proportional to the length of the arms. At the arm length of five kilometers, my impression is that the interecept for zero length is about 20 percent or less. Hence by making an initial system of only about 1/3 the length, a factor of 2 can be saved in the overall cost.

I am concerned about choosing the full 48-inch diameter vacuum tube. It makes the cost of the system much higher, requiring not only larger pipes but also more and larger pumps. It also increases the cost of the valves and the difficulties of working with the system. The important advantage stated for the larger pipe is the ability to run a lot of different independent antennae simultaneously in the same pipe. This has an accompanying disadvantage because it couples the experiments in the same tube, end and corner stations, keeping them from being truly independent insofar as interruptions arising from access to the equipment in the vacuum of the station enclosures. My suggestion would be to build a 24-inch diameter vacuum system about 2 kilometers long on a site which would accommodate 5 kilometer arms. Use scheme (1) for the tube without earth cover. Then expect, in a second phase, to extend the length of the facility and add parallel paths in one or more additional tubes if the scientific results justify further expansion. This is a much more natural growth process rather than a plan to build at the outset an elaborate and expensive setup to provide a "national centerpiece" facility for the field.

The overall program as proposed is an expensive one. Amortizing the capital costs over 10 years and adding the operational costs and the support of the individual groups, the program will run a cost of roughly \$10 million per year. This is comparable to the total funds now being put into the field. While I do support the program in general, I feel that this is a very large initial commitment to make for an activity which has a number of risks to the achievement of its goals. I believe that the more modest approach would ultimately achieve the same goals in about the same time, but minimize the risk and the cost.

If the overall scope is reduced as suggested, perhaps as much as one million dollars could be cut off the 17-month funding costs for the design work in such areas as engineering design, valve testing, vacuum test bed, prototype vacuum system, and independent cost estimate. The time chart appears optimistic for construction funding in view of the inherent bureaucratic requirements and present state of development. FY88 may be a more likely date for initiation of construction.

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PROPOSAL NO.	INSTITUTION		PLEASE RETURN BY
PHY-8504837	California Inst.		01/25/84
PRINCIPAL INVESTIGATOR		NSF PROGRAM	
Ronald Drever		Gravitational Phy	ysics
TITLE			
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Feasibility and Des	ign Studies for a	Laser Interferometer Gravitat	tional Wave Detection Systom
i lease evaluate this prof	oosal using the criteria	a presented on the back of this review f	form. Continue on additional
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is no question about this project nonet radiation, and agrastronomy, just as project does however and the resources of with some sources of application of a derestrial sources (cones, and so the fut that may not even that may not even the accelerators, but heach step.)	ut the high abili heless. I accept ee that if this we were earlier adver, differ from a demanded. In all adetected early and etector to astronoften man-made). Ill technology must be there. (The ornere too developments)	the engineering design and proposed to review it as a proposed ties of the PI's, but I have so the scientific importance of the done it would be of great ances in radio, X-ray, and infulated all those earlier advances in other cases, development has been done being found as detector computed its use and development in this case the only sources so the developed, at great cost only other projects of equivalent has been incremental, with	sal to contruct one. There serious reservations about detecting gravitational value to physics and rared measurements. This the riskiness of its goals een able to be incremental, s improved; usually, indeed opment for brighter teravailable are astronomical, to detect weak sources nt cost are particle useful measurements at
enough to probably things that are non will need to be cre lasers (100 W vs .3 low-loss mirrors 25 transducers 60 dB b All of this is large-scale facility upper bound on gravisignal-to-noise are	detect existing setheless not practated to approach W now used), mod times bigger that etter than those likely, but none y would appear to itational radiations optimistic: in proceedings and the continuistic: in proceeding the continuistic in proceeding the continuist	nis project are not a reason not a unwise. It has been shown the sources is theoretically possible tical. A lot of technology the theoretical limits, included and single-mode fibers on now made and flat to 1/300 we now used, and 1-ton masses in of it guaranteed; until the property run a good risk of merely put on. Some of the remarks in the ractice, a S/N of 5 is not going actually learn anything about	nat an antenna sensitive ole, but so are lots of nat does not now exist ding high-power stabilized s to handle that power, vavelength, displacement high-Q suspensions. cospects are clearer, a sting a very expensive se proposal about available
I sympathize wi	th the PI's desire	e to have a large-scale facili may be done as soon as possib	

time is a consequence of a project of this magnitude, and it is their choice to pursue it.

(TO CONTINUATION SHEET) OVERALL EXCELLENT RATING: VERY GOOD GOOD DEVIEW-

POOR

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One part of the plan that seems especially weak is the simultaneous construction of two antennas. Certainly two are needed to give convincing results, but to build them together is to abandon all the advantages of the learning curve. I expect that once a system is running, a long time will be spent finding and removing unexpected sources of noise; if construction were staggered these lessons could be applied to the second antenna as it is built, so the total time to be working would probably be about what it would be anyway.

Having said all this, I must agree that most of the prototyping studies described in this proposal will need to be done before a large-scale facility is built, and that having done them, the costs would be much clearer. This is especially true of the proposed work on the vacuum system. I would therefore favor funding this, though perhaps over a longer duration than the 18 months given here. I can however see no use in the engineering design study at this stage, which is what I take the JPL work order to cover (the proposal is vague on this).

There is a large gap in this and previous work on antenna design which I would like to see addressed. Figures 2.2 through 2.4 make it clear that most of the expected sources emit at frequencies of a few hundred hertz and below (in any case bar detectors partly cover higher frequencies). The major noise source in this band is seismic motion; to judge from these figures it is reducing this, not lowering the shot noise alone, that will make the most sources detectable. However, all of the estimates of this come from a very thin database: essentially measurements at one (very quiet) location to 10 hertz, with generalizations about noise elsewhere based on visual estimates made in 1959, and extrapolation to higher frequencies. Some later measurements (G. Frantti, Geophysics, vol 28, 547-562) show that the extrapolation is roughly valid out to 100 Hz, but also that the low levels assumed are not common, 10 times larger not being rare. It is common seismological experience also that high-frequency noise varies substantially with local wind, and that spectra often show narrow lines from distant cultural sources. Given that ground motion is a major source of noise, it would seem right for more effort to be put into studying it so that more realistic estimates can be made of the amount of isolation needed. effort required of a proper field program would not be large relative to the amount to be spent on other tests. To postpone this to a check made at various sites, rather than first trying to understand the behavior and causes of ground motion, is to give a too minor role to a potentially major problem. As one example of insufficient attention being paid to this, I would point to the list of sites proposed, which includes locations in Maine and various parts of the West. Any location in the Northeast is going to very noisy because of cultural moise and the high Q of the local rocks, which allows efficient propagation. Locations in the West are a poor idea for a different reason: in many places (including all those shown in California, Idaho, Arizona, and Utah) there is a good chance of significant seismic activity nearby during the 20-year projected life of the facility, and during the months or years that such activity might last the usefulness of the facility would be greatly reduced because of frequent "strong" ground motion. (It need be strong only relative to background noise).

PROPOSA	AL TO THE NATIO		UNDATION
FOR CONSIDERATION BY NSF ORGANIZ (Indicate the most specific unit known, i.e. p		FEDERAL AGEN	AL BEING SUBMITTED TO ANOTHER CY? Yes No _X; IF YES, LIST
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\$6,700,000.00	17 months		June 1, 1985
PI/PD NAME AND SOCIAL SECURITY N	O. (SSN)*		PI/PD PHONE NO.
RONALD W.P. DREVER (CALTE			(818) 356-4291
RAINER WEISS (MIT)	128-26-		(617) 253–3527
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*Submission of social security numbers is vol integral part of the NSF information system is	untary and will not affect and assist in processing the	the organization's eligible proposal. SSN solicited	lity for an award. However, they are an under NSF Act of 1950, as amended.
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PROFESSOR OF PHYSICS, CALTECH		Quenn	Professor of Physics, MIT
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