

November 17, 1986.

Dear Panel Member,

I would like to thank you for taking the time to attend the Workshop on Gravitational Wave Physics and Astronomy. I know it was not easy since a lot of information had to be transferred quickly. I am impressed with how well the panel grappled with the critical issues and addressed the charge given it by the NSF.

The next step in the iteration of the panel report is to mark up the enclosed copy and send it to Andy Sessler in the enclosed envelope. He has requested that you mail it to him by Wednesday Nov 26. He will do the editing before sending the report back to me for final typing and distribution to the panel. Hopefully we will all be done with this by the first week of December.

Sincerely yours,

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NOTE TO THE PANEL FROM R.WEISS CONCERNING THE REPORT

1. No reference is made to a figure 1. The document refers to figure 2 and 3.

SOME SUGGESTIONS TO IMPROVE THE ACCURACY OF THE REPORT

2. First paragraph of section 2: Change description of bar sensitivities to read: "Such detectors have been able to achieve a sensitivity of 10-18 for broad-band bursts that have substantial power in the neighborhood of 900 Hz. A potential improvement of as much as 100 may be still possible in such detectors giving them an excellent sensitivity for broad-band bursts near 900 Hz. However, because of their narrow-band response, such detectors cannot reveal the details of the burst's waveform; for that a broad-band detector is required."
2. In the third paragraph of section 2 "This technique is called the "delay line mode" of the Michelson interferometer and requires large mirrors and accurate control of the mirror figure in order to cause..."
3. The discussion of the Fabry-Perot at the end of the section-2 paragraph which begins "One technique..." Change the last sentence ("insert 1") to read: "The Fabry-Perot approach requires a highly stable laser and, if light is to be recycled (section 4 below), further work is required on techniques to recombine the beams."
4. The paragraph in section 2 beginning "The question naturally arises...": Change the description of the required sensitivity improvement to read: "The phase 1 detector involves an extrapolation by a factor of 4 of the displacement achieved by the present Munich instrument. A factor of 4 increase..." [i.e. change 10 to 4]
5. The paragraph in section 2 beginning "Simple observation...": Change the relevant passage to read "At the simplest level two detectors enhance the believability of a signal and also define a circle on the sky containing the source. A minimum of four detectors is required to determine the direction of the source and the wave forms in its two polarization states."
- 6) In section 5 b) Facility Size "goes less than linearly with L because of end station (and other length independent) costs. Examples of such are engineering, buildings, receiver systems etc"

**Report to the National Science Foundation**

by the

**Panel on Interferometric Observatories for Gravitational Waves**

*November 1986*

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### **Introduction**

The workshop on Gravitational Wave Physics and Astronomy was convened at Cambridge Mass. on November 10-14, 1986 to coordinate information and review the state of developments in that field with particular attention to the plans for the Laser Interferometer Gravitational Wave Observatory (LIGO) Project. This workshop was attended by approximately 55 individuals including scientists from Britain, France, Germany and the United States, as well as specialized consultants from various relevant fields. Attached in Appendix A is a list of the NSF panel members, workshop participants, consultants and observers. The charge to the Panel is also included as Appendix B.

The workshop program (displayed in Appendix) was very intensive but broadly ranging. The quality of the presentations was excellent and it was especially gratifying to note the high competence and enthusiastic interest of both the foreign participants and the specialists from various laboratories and industrial organizations. The panel thanks the Caltech group and the MIT group for their patient tutelage while describing the LIGO project and particularly thanks Prof. Weiss and his associates for their hospitality and efforts to provide the support and organization for a highly successful workshop.

The following pages constitute the report of the Panel; a summary of our recommendations may be found in the last section.

## 1. THE SCIENTIFIC CASE

### A. The Importance of Successfully Detecting Gravitational Waves

Einstein's theory of General Relativity is now 70 years old. It has survived numerous challenges, and is still our most successful theory of gravity. However, experimental tests of the theory are largely confined to slow-motion, weak-field situations. An analogy with electromagnetism would be that we believed in Maxwell's equations because of their elegance, but that we had actually only verified the theory for electric fields, and only in the quasi-static limit. We have no direct experimental data on the gravitational analogues of magnetic fields and of electromagnetic radiation.

Gravitation differs from electromagnetism in an important way: it is a nonlinear theory. The famous perihelion shift of Mercury is a test of this nonlinearity, but only as a weak-field correction to Newtonian gravity. Some of the most remarkable predictions of the full nonlinear theory, such as black holes, have no direct experimental confirmation as yet.

The scientific motivation for searching for gravitational waves thus has two equally important components:

(1) It will test fundamental predictions of General Relativity that can not be tested in any other way.

(2) Successful detection will open a new astronomy in the same way that radio waves and X-rays opened up new windows on the Universe.

General Relativity makes specific predictions about the nature of gravitational waves. For example, they propagate at exactly the speed of light, and they have the polarization properties of a spin-2 field. Other theories of gravity generally differ in one or both of these predictions. Detection of a burst of gravitational waves from a supernova in the Virgo cluster of galaxies  $4 \times 10^7$  light years away (13 Mpc), together with optical identification of the supernova, which can be done within a few days of the event, would test the equality of the propagation speeds of light and gravity to about  $10^{-10}$ . Simultaneous observations of gravitational wave bursts at 3 or 4 detectors spread around the earth would enable the polarization properties to be determined.

The gravitational interaction is so weak that there is no hope of laboratory production of waves. We must rely on astrophysical phenomena that involve the coherent motion of large masses at relativistic speeds. Thus successful detection enables one to study the astrophysical sources. Moreover, one obtains information essentially orthogonal to what one learns from electromagnetic radiation. Gravitational waves may be the *only* way to study objects such as black holes directly.

## B. Sources of Gravitational Waves

There is a large literature on possible sources of gravitational waves, and there is a strong theoretical program to calculate detailed amplitudes and waveforms, and to estimate event rates. These can be used to estimate the sensitivity required to detect the waves.

Sources can be divided into three categories:

- 1) Burst sources
- 2) Periodic sources
- 3) Stochastic sources

From the many possible sources in each category, we mention a few examples.

A typical example of a burst source is emission from a supernova collapse. The strength of the wave can be characterized by the dimensionless strain  $h = \Delta L/L$  induced in the detector where  $L$  is the length of the detector and  $\Delta L$  the change in the length. The amplitude is approximately

$$h \approx 5 \times 10^{-22} \left[ \frac{\Delta E/M_{\odot} c^2}{10^{-3}} \right]^{1/2} \left[ \frac{15 \text{ Mpc}}{r} \right] \left[ \frac{1 \text{ kHz}}{f} \right]^{1/2}$$

Here  $\Delta E/M_{\odot} c^2$  is the efficiency of the event, the fraction of a solar rest mass of energy carried off by the waves,  $r$  is the distance, and  $f$  is the frequency of the peak of the spectrum. A supernova with this efficiency in our Galaxy,  $r \approx 15$  kpc, would produce an  $h$  within a factor of 10 of the sensitivity of currently operating laser interferometers. Unfortunately the supernova rate in our Galaxy is probably only one every 30 years, and one has to go out to a distance of about 15 Mpc before the event rate is about one per month. However, the efficiency of such events for producing gravitational waves is not known, and may be much smaller than  $10^{-3}$ .

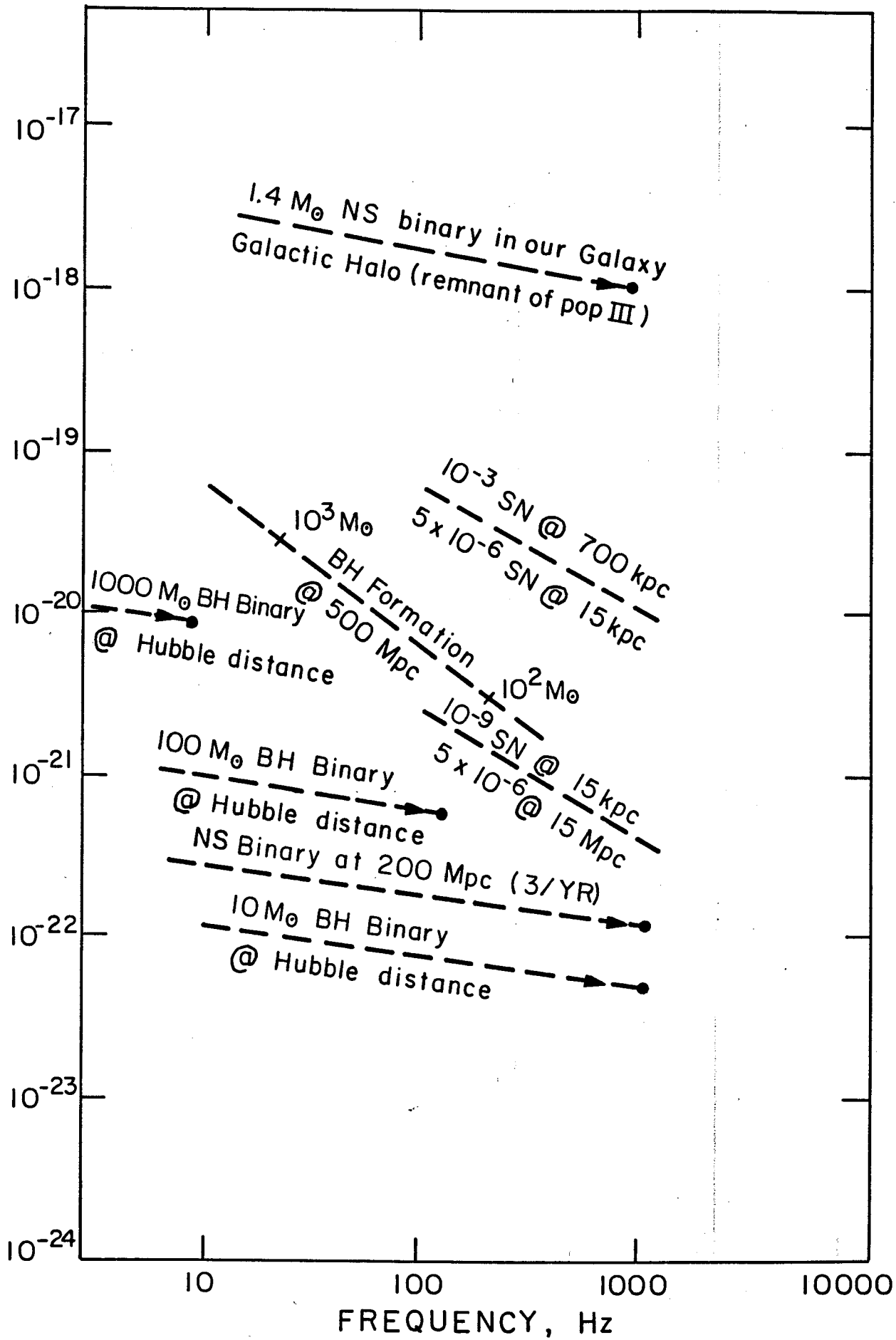
An example of a source where the efficiency is known very well is the spiraling in and coalescence of two orbiting neutron stars as they lose energy from their orbit by gravitational wave emission. During the last few seconds of its lifetime, such a binary system produces a roughly sinusoidal "chirp" of radiation that sweeps up in frequency from a few hundred Hz to about 1 kHz. One can estimate the frequency of such events from the observed statistics of precursor binary systems in our own Galaxy. Such an estimate suggests that out to a distance of almost 100 Mpc there should be several events per year, with an effective amplitude  $h \approx 10^{-22}$ . Most importantly, the event rate scales with the volume of the Universe one observes, i.e. with  $h^{-3}$ . Even if the estimate of the event rate is off by a factor of 1000, an effective detector sensitivity of  $h \approx 10^{-23}$  would be extremely likely to lead to a positive detection of gravitational waves.

Periodic sources include waves from slight asymmetries in rotating pulsars. Successful detection, or the setting of upper limits because of the absence of asymmetries, would give valuable information about the physics of neutron stars.

Stochastic radiation is a cosmological background produced during very early epochs in the Universe. Detection, or setting of upper limits, provides test of ideas in fundamental physics, such as Grand Unified Theories, cosmic strings, and the Inflationary Universe.

BURST STRAIN AMPLITUDE x SQUARE ROOT OF NUMBER OF CYCLES IN THE BURST

$h \sqrt{n}$



STRAIN SIGNAL ESTIMATES FOR BURST SOURCES



Groups in Britain, France and Germany are also proceeding with plans to build detectors of comparable scale to the facility proposed for the U.S. Such a network is important for the ultimate scientific usefulness of the project. Moreover, the contemplation of such projects by other countries lends credibility to the U.S. proposal.

### C. Summary

There is great difficulty in reliably predicting the detector sensitivity required to guarantee success of detection. The uncertainty comes from poor knowledge of the statistics of the sources, or of the strength of the waves from known sources, or both. There is a good chance that the first sources to be discovered will be something of which we have not even thought.

We believe that at the sensitivity of the simple first detector envisaged,  $h \approx 10^{-20}$  for burst sources, there is a small but not zero probability of detection. At the level of the more advanced detectors,  $h \approx 10^{-22} - 10^{-23}$ , we believe that there is a strong probability of detection. Moreover, the information gained by a wide-band receiver like a laser interferometer would enable one to do physics and study the sources, and not simply report the detection of glitches. The detection of gravitational waves would be likely to bring about a revolution in our view of the universe.

## 2. DETECTORS

The gravitational wave causes a quadrupolar strain  $h$  to be developed in the detector with a magnitude depending on the particular source that can range between  $10^{-24}$  up to  $10^{-19}$ . The detection of such an incredibly small strain has been the major challenge addressed by gravitational wave astronomy for the last 20 years. The pioneering work was done using the original technique, invented by J. Weber, of detecting the resonant ringing of a large ( $\sim 1$  ton) aluminum bar. Such detectors have been able to achieve a sensitivity for periodic strain amplitudes equal to  $10^{-18}$  in the narrow band around the resonant frequency of the bar. A potential improvement of as much as 100 may be still possible in such detectors giving them an excellent sensitivity for periodic sources of matched frequency. However many of the expected sources are not of fixed frequency and hence a broad band detector is required.

During the one week workshop the Panel heard plans for a broad band detector facility called LIGO. The new technique that has been rapidly developed over the last eight years involves using an interferometer with two arms of 4km length. If the arms are oriented along the x,y axes and a plane gravitational wave of this polarization traveling along the z axis is incident on the instrument, one arm will be shortened and the other lengthened. The resulting fringe shift represents the output signal. However, since the frequencies of interest are in the region 100 Hz to 1000 Hz, it is clear that the sensitivity of the instrument would be greatly enhanced if the interaction time between the light and gravity wave could be extended from the transit time in the arms which is 26 microsecond to times of order 1 millisecond.

One technique used to accomplish this is to use large mirrors at each end of the interferometer arms and cause a well collimated laser beam of  $1/2\mu$  light to make multiple bounces before exiting the system and interfering with light of the other arm. One hundred bounces improves the interaction time and hence the fringe shift by the same amount. This technique is called the "delay line mode" of the Michelson interferometer and requires large mirrors and accurate control of the geometry in order to cause the beam to enter and exit the apparatus correctly. An alternate scheme involves using Fabry-Perot mirrors and injecting the light directly through the mirror surface. The mirrors form an optical cavity and the extended interaction time between the light and the gravitational wave is achieved by multiple bounces of the light between the mirrors. The Fabry-Perot approach requires a highly stable laser and further work is required on techniques to recombine the two beams.

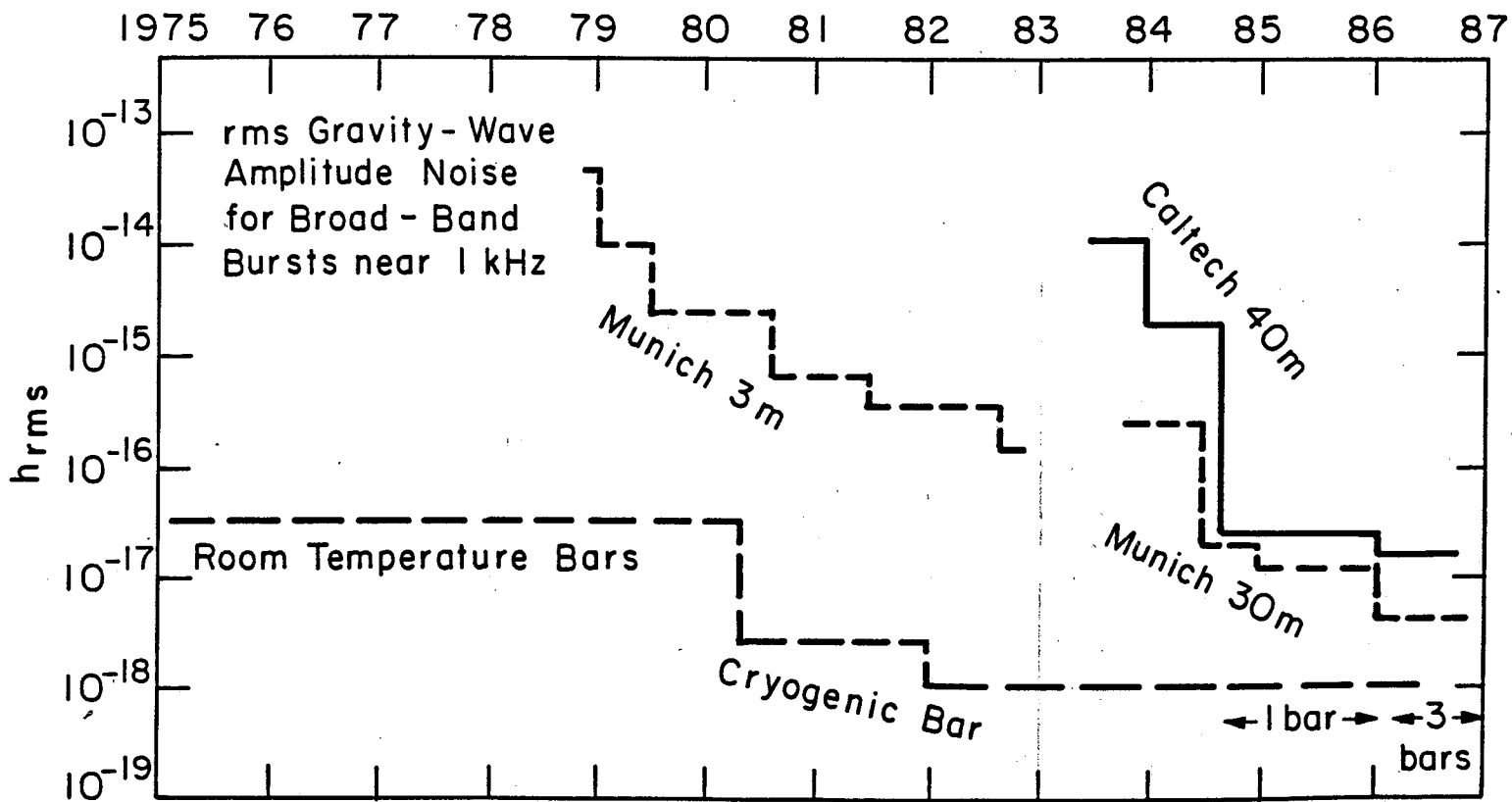
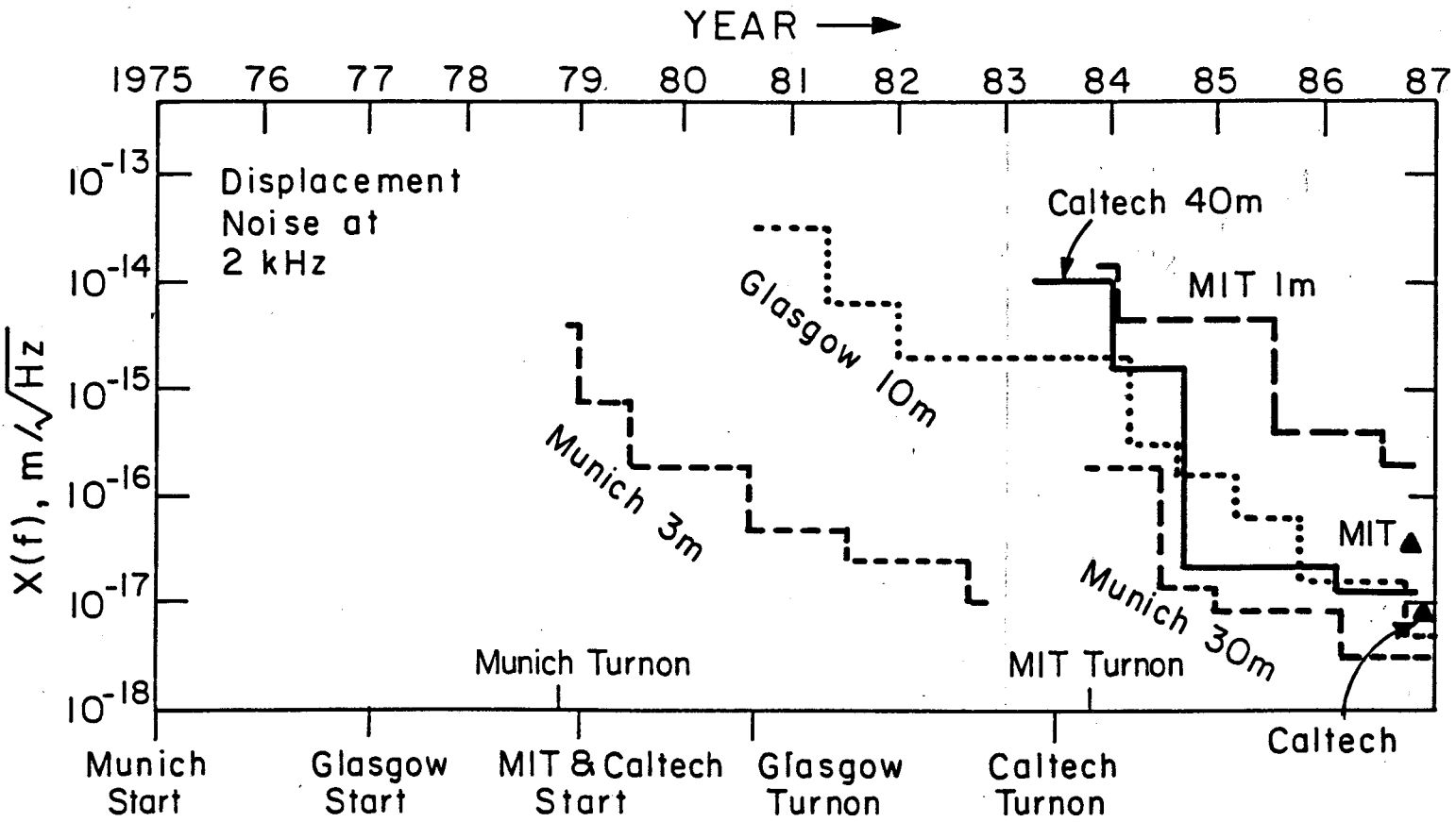
Both of these techniques are being considered for the phase I detector and the particular problems associated with each scheme will be investigated during the Engineering Design Study planned for the next year with the goal of meeting the sensitivity shown in Fig. 2 for the phase I detector.

The question naturally arises of whether or not these design goals can be realized starting from the present experimental base. Fig. 3 is an attempt to show the progress with detectors over the last eight years. The spectral displacement  $x(f)$  in meters/ $\sqrt{Hz}$  is shown as a function of time for the four groups doing work. The Munich and MIT detectors use the Michelson delay line technique while the Caltech and Glasgow use the Fabry-Perot configuration. The phase I detector involves an extrapolation by a factor of

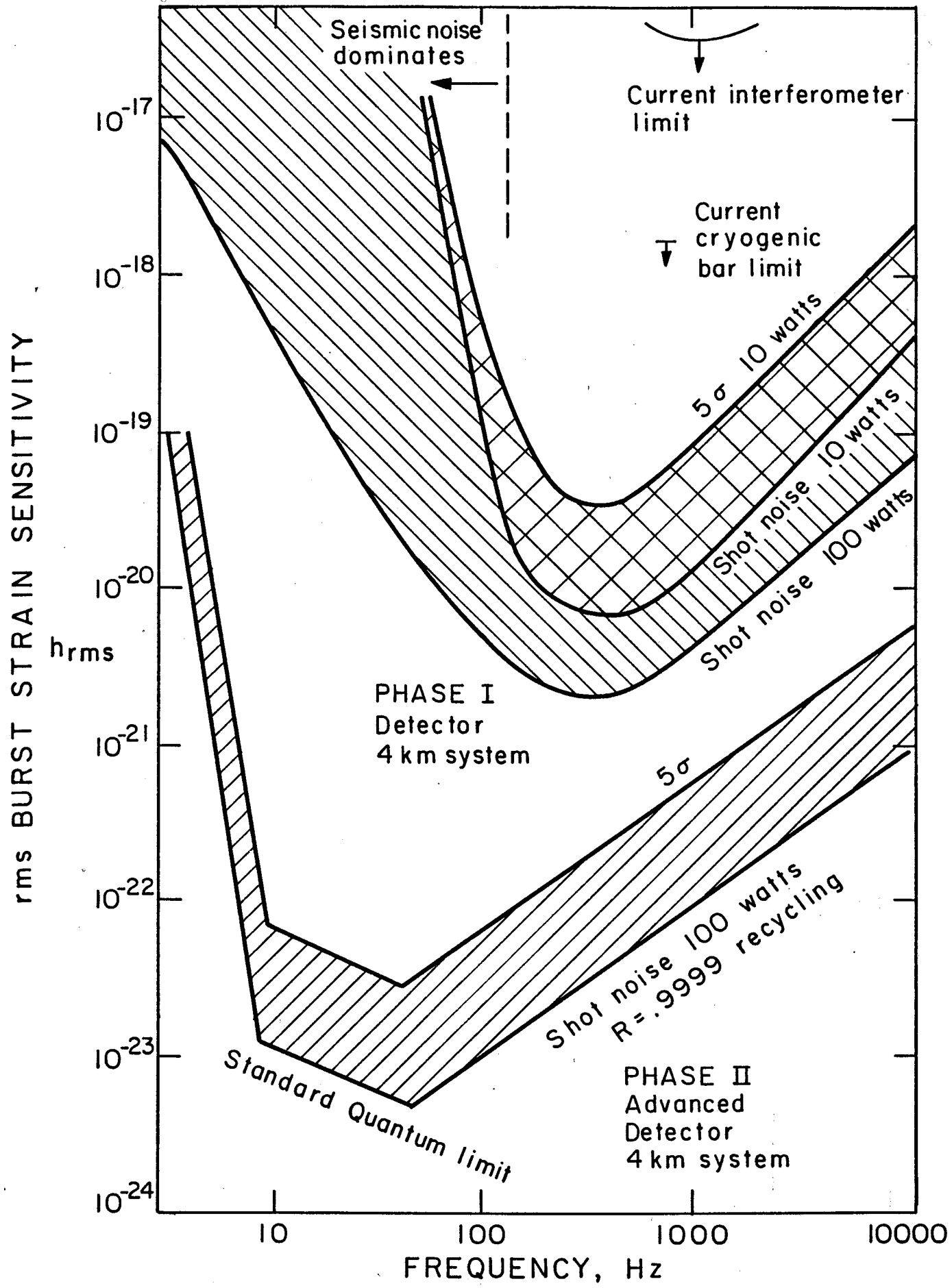
10 of the displacement sensitivity achieved by the present Munich instrument. A factor of 10 increase seems reasonable in view of figure 3 which shows a factor of 3 per year for the existing instruments. This coupled with the factor of 100 in length would give the sensitivity curve shown for the phase I detector. Future increases in sensitivity necessary for the phase II detector are discussed in section 4.

An important feature of the proposed program is the construction and simultaneous operation under one management of two widely separated but otherwise identical detectors. The separation minimizes the effect of extraneous seismic or other noise sources, and the identical structure permits simple correlation techniques to be employed in the data analysis. There was an overwhelming consensus at the workshop that it is imperative that coincidence techniques be used to verify the observation of a signal.

Simple observation of a signal is not sufficient for the viability of the project, and work is underway at several centers on the problem of extracting physics from the observations. At the simplest level two detectors with an observed signal enhance the believability of a signal and also define a plane containing the source. Four detectors are required to extract the arrival time, amplitude, direction cosines, and the plane of polarization of the wave. For the ultimate extraction of all the information contained in a signal, a network of stations with enhanced sensitivity of the phase II detector and distributed around the world will be necessary. The goal of phase I is to construct a facility with an intrinsic ability to extract a signal from the noise with a high degree of believability and then to form a basis for a more advanced instrument. The enhancements possible are discussed in section 4.



SENSITIVITY AS A FUNCTION OF TIME



PRESENT AND PROJECTED BURST STRAIN SENSITIVITY

### 3. OPERATIONAL REQUIREMENTS

#### A. Diagnostics and Automation

The efficiency and confidence level in results become increasingly important in proportion to the investment in an observatory. Unnecessary confusion between signal and an unusual source of noise or failure to recognize when a detector is not working properly are not acceptable. Diagnostic techniques must be automated and test procedures for verifying performance should be an integral part of the system design.

At the hardware component level, test signals to verify performance should be available at as many levels as possible without having to stop operation or gather and implement a complicated test set up. At a single site two interferometers perhaps of different lengths should aid in identifying false alarms from events such as optics or electronic which may not be correlated. Two sites which are spatially uncorrelated ( $> 1000$  km apart) are absolutely essential to identify correlated signals that have a high enough probability to warrant detailed evaluation. We strongly support the philosophy of two sites with identical detectors and encourage a similar attention to performance verification at subsystem levels as well.

#### B. Reliability

Good diagnostics will help minimize downtime in the event of failures. But high reliability is most important in achieving maximum utilization of the observatory. Many of the techniques which have been developed for laboratory use should be redesigned for long-term unattended performance and for care and maintenance by personnel who were not involved in their development.

#### C. Management Structure

The construction phase and the continuing observing operation require different management. This proposed observatory must become a national facility open to investigators from many institutions in the U.S. and from overseas. Some sort of consortium must be formed to establish policy and to serve as the evaluators of proposed future experiments. This organization should be formed early enough at least in skeletal form to represent the interests of future investigators during construction.

The most important management position to establish is a program director. This person must be of scientific and or engineering stature comparable to oversight groups and future investigators. This manager must be the final and single authority for decisions that will have to be made on a continuing basis during the construction and evolution into an operating observatory. A manager of appropriate stature should be able to represent the observatory in Washington and to any scientific or engineering body without the need for support from others. The management structure must insure adequate authority to this position so that no deadlock on any decision could hold up operations.

#### 4. EXPECTED IMPROVEMENTS

The proposed gravity wave detection system makes use of sensitive coherent optical techniques, which are being stretched to their very limits by the extreme demands of this application. Fortunately other fields are driving the technology forward also. Optoelectronics is in a state of unprecedented rapid development and explosive expansion driven largely by new technical capabilities and by other applications in laser communications, fiber optics, rotation sensing, coherent laser radars, materials processing etc. These fields also need improved optical coating techniques, optical modulators with lower losses, optical fibers of higher power carrying capability, and perhaps solid state lasers of improved efficiency and reliability. There has been an enormously stimulating effect of the gravity wave detector challenge on the laser and quantum optics field. These almost insuperable demands have led directly to new photon measurement concepts such as quantum non-demolition detection and have strongly fueled the experimental race to demonstrate the so called "squeezed" radiation states. We turn now to sketching a likely scenario in which hard work and clever ideas and devices from within and from outside the gravity wave community will lead to major sensitivity enhancements.

##### A. Lasers

We expect that the first detectors will be installed with argon lasers as the sources, probably operating on the 514nm line. The system may deploy 3 to 5 such lasers to produce approximately 20 watts of single mode single frequency power using a coherent power addition setup (optical phase lock) recently demonstrated in France. This laser system, along with modest improvements of the frequency locking techniques already used in prototype systems, is expected to lead to gravity wave detection sensitivity levels as indicated in Fig 2 by the upper line marked "phase 1 detectors". The 20 watts of laser light assumed here will require a power consumption of about 120KW corresponding to a power efficiency somewhat above  $10^{-4}$ . With a plasma tube life expectancy of approximately 2000 hrs, about 10 replacement tubes may be required per year at an annual cost of perhaps \$100K.

Since increasing the laser power is one of the clear highways toward still higher detector sensitivity, it is appropriate to weigh contemporary advances in solid state lasers of significantly higher power efficiency. The most well developed alternative candidate at this time is the  $\text{Nd}^{3+}$ :YAG laser emitting at 1.06 micron wavelength. Commercial laser units, based on a single YAG crystal can produce 300W CW power with long operating lifetimes. Present units are intended for cutting and metal working applications, and the spatial quality of their beams is unfortunately far from the diffraction limited beam needed by the gravity wave program. Still the wall plug efficiency is above 6% and this fact alone make it interesting to consider further. One can foresee obtaining beams of high quality at the 100W level from such devices by several optical techniques such as unstable resonators and/or holographic mode converters. The high frequency stability required could be obtained by injecting the low power beams from a monolithic Nd:YAG pumped by a diode laser (into a power amplifier or oscillator). Intermediate power amplifiers, if needed, could also be diode pumped. Indeed, there are rumors that diode pumped Nd devices at the 300W level are being developed for another national program. Their

projected reliability and power efficiency in the 25% range would be a welcome contrast to the  $\text{Ar}^+$  case.

It seems certain that other solid state laser materials may also suggest themselves for enhancing the detector systems. For example, multi-hundred watt units based on Alexandrite also are available commercially but would need significant development to work similar to Nd:YAG. One possibly interesting difference is that the Alexandrite wavelength (0.73 to 0.79 micron) may be usable directly for the interferometer, whereas the Nd laser would probably require conversion to the second harmonic at 530nm by means of a nonlinear crystal. Recent progress in growing damage-free  $\text{LiNbO}_3$  may make this a simple project, using an external resonator. New nonlinear crystals being developed in China ( $\beta\text{-BaBO}_3$ ) may also be of interest in this regard.

Lacking a reliable high efficiency frequency doubler, the Nd laser system loses some of its appeal since the longer wavelength reduces the sensitivity somewhat and also requires larger diameter light beams. This point could be a critical issue if the delay line geometry is selected. We anticipate that the observatory cooling system will be designed at the 300KW level to accommodate a reasonable bank of argon lasers – say 10. But with good luck suitable high efficiency solid state sources will be available at the appropriate time.

## B. Advances in Interferometer Design

A resourceful invention to reuse the preciously developed laser light has been suggested by Drever. The recycling concept may be understood along these lines. The input power is divided equally and sent into the two arms. The beam recombiner will be operated so that the two equal outputs from the interferometer arms will be phased to interfere destructively in the direction toward the photodetector. Then this beam can contain the minimum shot noise contribution, leaving mainly the local oscillator sidebands used for detection plus the residual arm imbalance signal which will contain the potential gravity wave information. Since the beam recombiner is non-absorbing, the rather significant power returned from the two interferometer arms is not dissipated, but is instead steered by the beam recombiner back toward the laser source. An attractive idea is to “recycle” this light by placing an auxiliary mirror in the input line to return this unused light into the experiment. The storage time in the two arms would be set appropriate to the desired system time response, while the input reflector would be chosen to produce the largest circulating power inside this auxiliary interferometer. With contemporary low loss mirrors, as used typically in laser gyroscope applications, rather substantial power enhancements should be possible. Enhancing the power 10 fold or more should in principle reduce the shot noise level 3 fold, but – of course – the recycling interferometer adds another interferometric condition which will have to be servo-controlled with exquisite quality just to reach again the original noise level and then 3 fold better.

Another interesting idea has been proposed to enhance the sensitivity in looking for periodic sources. With long interferometer arms and low loss mirrors, one can store the light much longer than a period and thus weaken the detected signal. Drever has suggested that one should switch the light between the two arms after a time approximately  $1/2\tau_p$ , where  $\tau_p$  is the period of the source in question. In this way the signal sideband grow with the number of exchanges, which scales with the photon storage time in the total



system. This "resonant recycling" can be achieved also with Fabry-Perot arms in the main interferometer by using appropriate polarization or Faraday devices as optical circulators.

One can anticipate that at first new noise problems will be introduced by optical techniques such as "recycling" and "resonant recycling" but that eventually a net win will be obtained. A power gain of 100 fold seems surely possible with recycling, assuming no insuperable problems develop. For example, one might first worry about exposing the mirrors in the Fabry-Perot system to powers approaching 100KW. Several watts would be absorbed in the over  $100\text{cm}^2$  mirror coatings, making a high thermal conductivity material such as sapphire attractive as a mirror substrate. Sapphire also is a material of choice because of its high Q to reduce mirror thermal noise and high sound velocity to push the mirror resonance frequencies toward higher frequencies. Finally, it has been recently been found that Sapphire can be optically polished extremely well, with rms surface roughness in the less than 5 Angstrom domain, which may lead to mirrors of even lower loss.

### C. Seismic Isolation

At the same time, sensitivity increases in the interferometer system will require corresponding progress in other sensitivity limiting areas before dramatic progress will be obtained. For example, vibration and seismic isolation is one area sure to be of interest for improvements of system sensitivity, particularly at the lower frequencies ( 100Hz and lower) associated with the coalescing binary neutron star source model.

The effectiveness of seismic and acoustic isolation has been impressive and adequate to date. A number of techniques are proven in the field. They include pneumatic isolation, multi-pole filters made with stacks of steel and rubber and quiet electronic feedback for damping the natural behaviour of high Q suspensions in which the lossiness has been minimized to reduce thermal noise of the test masses. To reach the advanced levels of performance significant improvement must be made. It appears combinations of these techniques may be sufficient but they must be optimized. A design approach to minimize passive damping in the innermost portion with design of each more outer stage of isolation incorporating more passive damping and more robust technology would seem most promising. Built in testing to verify that the isolation is fully functional should be considered.

Continuing this line of research may pay handsome dividends in the expected long term growth toward sensitivity improvement at the lower frequencies.

### D. "Squeezed" States in Interferometry

Of course the quest for further sensitivity enhancements will continue. One fascinating recent development in quantum optics may well turn out to have the potential for a "breakthrough" level of sensitivity advance. This subject is called "squeezed" states and is concerned with the organization of the zero point oscillations of a radiation mode by non-linear optical interactions. Its significance for gravity wave detectors can be explained with the help of optical interactions. A simple picture of the photodetector's shot noise is given by Caves. He views the shot noise, not as a consequence of the electron's discreteness, but rather as a consequence of "heterodyne" detection by the coherent laser "local oscillator" field of the vacuum field with the same wavelength and spatial and polarization mode as

the coherent laser field. With RF analysis of the photocurrent fluctuations one is seeing the down converted noise from two symmetrical windows in the optical frequency domain, just as in the radio domain (the "signal" and "idler" bands). The observed RF signal is essentially the superposition of two zero point vacuum fields. Relative to the laser field these "noise sidebands" would represent amplitude modulation. It has long been predicted by Wells, Shapiro and others that nonlinear interactions in a degenerate optical parametric amplifier (OPA) could produce noise sidebands organized as mainly FM sidebands with correspondingly reduced AM sidebands relative to 1/2 the OPA pump input frequency. Such noise light would be called "squeezed" light since the former excursions in the AM sidebands have been squeezed into the FM sidebands. In another basis one could squeeze either the sine or cosine phase quadrature components. In very recent work Kimble and his associates have produced a dark "squeezed" vacuum state which is 4db "darker than dark" in its AM quadrature. The noise has been increased by a corresponding factor in the other quadrature. Caves suggested that it would be interesting to inject a beam of such AM "squeezed" light into the gravity wave interferometer beam divider, arranged to be optically conjugate to the high power beam already present. Theory predicts sensitivity improvements (relative to the shot noise part of the system noise) will be achieved by reduction of the detector noise level. Ten times "squeezing" may not be so easy to achieve, but it still may be easier than a corresponding 10 times increase in laser power to obtain the same sensitivity gain.

Even without "squeezing" when these projected improvements in sensitivity are taken along with a reduction of the seismic noise by improvements discussed above, one could anticipate vastly improved observatory sensitivity for gravity wave radiation. A possible projected sensitivity curve is indicated in Fig 2 by the lower line labelled "more advanced detectors". The optimum sensitivity will be in the range  $h\sqrt{n} \approx 10^{-23}$ . It is exciting and highly significant to note that this sensitivity is sufficient to detect coalescing neutron star binaries out to 200 Mpc, that is beyond the Virgo supercluster. The expected event rate of these signals is 3 per year. Gravitational radiation from such objects will have a characteristic frequency chirp pattern from low frequencies to high - several 100's Hz - with increasing amplitude. Time frames of a few seconds along with such a distinctive frequency sweep pattern will provide an unambiguous signature of such binary source spiral-in events. Detection of such a signal will be a delicious occasion, the opening of a new window for our study of the universe.

## 5. COMMENTS, ADVICE, CONCERNS ON THE LIGO STRATEGY

### A. Site Location

There are many elements, as the Caltech/MIT team well knows, that go into the choice of site. Considerations must be given to proximity to the university, transportation convenience, infra-structure, simplicity and cost of construction, seismic quietness, etc. The panel is particularly impressed with the importance of an existing infra-structure (the presence of a stock room, quick repairs of a VAX, etc). We recommend that a re-examination be made of the proposed State of Maine site.

### B. Facility Size

The panel feels it is important that two sites be developed and instrumented in the same way. Caltech and MIT are to be congratulated for the soundness of their proposal in this regard. We do *not* recommend developing one site and then the other. We note that the extragalactic event rate goes as  $L^3$ , where  $L$  is the length of a detector arm; while the cost of a facility goes less than linearly with  $L$  because of end station (and other length independent) costs. Thus  $L = 1Km$ , as compared with  $L = 4Km$  would have an event rate of 1/64 of the proposed facility.

We support full authorization of the 4Km X 4Km facility, but note that staged construction, although costing more than immediate full construction will lead to a more uniform funding profile and less of a staff pulse.

### C. Oversight Committee

We recommend that the presidents of Caltech and MIT jointly appoint an oversight committee which reports to them. The committee should have nationwide and international participation and be charged with overview of the scientific program, management of the facility and facility availability to outside scientific groups.

We recommend that this committee meet at least twice a year during the construction phase and that copies of their reports be given to the NSF.

### D. Project Director

The panel recommends that the project be headed by a director of scientific and engineering stature comparable to the oversight committee members and the investigators. This director must be the final and single authority for decision during construction and evolution into an operating observatory. Management by a steering group may have been adequate until now, but would not be appropriate for the construction and operation of a project of this size.

### E. University Involvement

We note that the faculty involvement at Caltech and MIT in this project is minimal and recommend that their involvement be significantly increased by at least one more faculty position at each university.

## **F. Choice of the First Detector**

We recommend that the choice of type of the first detector and its associated laser be made prior to submission of the construction proposal. We feel it is important to be specific about the configuration and sensitivity of the initial detector and that the present research program be directed toward establishing that the necessary hardware can be constructed in a timely fashion.

## **G. Continued Research on Advanced Detectors**

It is important to develop advanced detectors and therefore that research continue to this end.

## **H. Facility Flexibility**

We believe that it is very important to build the facility so that more vacuum pipes can be added to it at a later stage if this is desirable. We believe that even at the start of the project a number of interferometers are desirable (to remove spurious signals) and a single vacuum pipe, of adequate size, is an acceptable design for the start of the facility.

## **I. Construction Strategy**

We recommend continued examination of less expensive approaches to vacuum, construction and fabrication techniques. We note that a good A & E firm will do just that. Although we recommend continued attention to cost saving methods, we do *not* recommend a delay to the project for this purpose.

## **J. Operating Costs**

We observe that the observatory will cost at least \$3M/yr for operation. In addition the groups at Caltech and MIT require continued funding so that they may develop ever more sensitive detectors. The cost of these groups is currently \$3M/yr. In addition the observatory will surely spawn new groups and there is in addition, the cost of data analysis; the sum costing (at least) \$3M/yr. In short, the NSF needs to plan on an operating budget for gravity waves in the 90's of (about) \$10M/yr.

## 6. SUMMARY

- a) A strong case has been made for the scientific value of the goals of the project.
- b) Though there are large uncertainties associated with the strengths of the many different kinds of astrophysical sources and the ultimate capability of interferometric detectors, there is a high probability that this facility will ultimately provide for a giant leap in our understanding of the gravitational force, one of the most fundamental forces of nature.
- c) It is anticipated that this facility would uniquely provide the most sensitive and certain prospect for detecting astrophysical events and identifying their nature. Essential to this capability is the twin nature of the two interferometers. Though companion efforts in other countries are highly desirable, the exact replication of the two LIGO detectors under a common management is extremely important both for the coordination of the observational program and for the analysis and identification of observed events. This facility would provide for a continued and thriving development of the field.
- d) It is important to proceed directly to the construction of a long baseline interferometer in a timely manner since many aspects of the detector development program cannot otherwise be tested.
- e) The rate (frequency) of detectable extragalactic events increases as a high power of the interferometer sensitivity, thus putting a high premium on the long baseline. Though a multistage, or phased authorization to the final configuration was carefully considered, the panel does *not* recommend this approach. We recommend full authorization with appropriate milestones.
- f) The plans as described in the presentations and in the various documents provided appear to be well conceived. The procedure which has been employed in drawing up the existing designs and in making the cost estimates appears reasonable and adequate for proceeding to the final design for submission. Effort should continue to examine design alternatives which may decrease costs, particularly in the area of the vacuum system and enclosure. We do *not* recommend that the project be delayed by this process of re-examination. It is important to make the choice between Fabry-Perot and the Michelson interferometer type detectors before submission of the final design. However, it remains important to develop advanced detectors and therefore research should continue to this end.
- g) Because of the magnitude and dual nature of the facility, with laboratory sites widely separated, it is especially important that the construction and operation be well managed. The panel feels that the project requires a single scientific project leader of high stature to direct the activities. Efforts should immediately be directed to provide such leadership.
- h) In looking forward to the utilization of the facilities it should be recognized that in addition to a budget for its operation, adequate funds will be required to support both the needs of experimental groups and further detector development.

i) In conclusion, the panel enthusiastically supports this development effort and urges that the appropriate steps be taken to complete the design and proceed to construction.

Signed for the panel

Andrew Sessler

Appendix

NATIONAL SCIENCE FOUNDATION  
WASHINGTON, D.C. 20550

October 17, 1986

Dr. Andrew Sessler  
Lawrence Berkeley Laboratories  
1 Cyclotron Blvd.  
Berkeley, California 94720

Dear Dr. Sessler:

Thank you for agreeing to participate as a member of the "Workshop on Gravitational Wave Physics and Astronomy" to be held in Cambridge Massachusetts during the week of November 10. I hope that you will find this a stimulating and interesting five days.

The National Science Foundation is the primary source of Federal support for gravitational physics. The annual budget in this field has grown from \$1M per year in 1970 to \$8M per year in 1986. The field is currently at the threshold of a major new initiative to develop apparatus and facilities which are projected to be able to detect gravitational radiation from astrophysical sources within the next decade. The estimated cost of this project is approximately \$60M in current dollars. The project has been endorsed by the Advisory Committee for Physics of the NSF as a new initiative in the current five year plan and, furthermore, has been given the highest priority by the Panel on Gravitation, Cosmology and Cosmic-Ray Physics of the Physics Survey Committee (Brinkman Committee).

In this period of tightly-constrained budgets, the NSF is in need of your expertise to provide further independent advice concerning this new initiative. More specifically, to be of greatest value for our planning, we request that the workshop:

- 1) evaluate the scientific case for the development of large facilities to detect gravitational radiation from astrophysical sources,
- 2) evaluate the probability of detection of gravitational wave signals as a function of gravitational wave receiver sensitivity,
- 3) review the sensitivity of gravitational wave receivers using current technology, and evaluate the prospects for improved sensitivity.

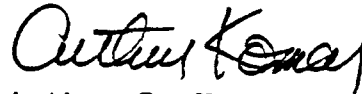
- 4) evaluate the vacuum system and construction strategy for the Laser Interferometer Gravitational wave Observatory (LIGO), a joint project proposed by the California Institute of Technology and the Massachusetts Institute of Technology, and
- 5) evaluate the scientific and technical management plan for the LIGO project.

It would be of considerable help to us if the conclusions of your deliberations of these matters were provided in the form of short reports, with appendices on technical issues should these be required. To be of use in current fiscal planning it would be important to have such documents in hand by mid-December.

Professor Rainer Weiss of MIT has been asked to coordinate the workshop. Details of the workshop program, travel and lodging arrangements will be sent to you from his office.

Let me once again express my appreciation for your generous contribution of time and effort.

Sincerely yours,



Arthur B. Komar  
Program Director for  
Gravitational Physics

Copy to:  
Dr. Rainer Weiss  
Department of Physics  
Massachusetts Institute  
of Technology  
Cambridge, Massachusetts 02139

cc: Dr. Harvey B. Willard, DD/PHY  
Grant PHY-8504836 A01, MIT (Weiss)  
Grant PHY-8504136 A01, Cal. Tech (Drever)



WORKSHOP ON GRAVITATIONAL WAVE PHYSICS AND ASTRONOMY

AGENDA

MONDAY NOVEMBER 10

- 8:30AM Continental breakfast in Hearth area  
MEETING IN LARGE CONFERENCE ROOM
- 9:00 Welcome A.Komar, R.Drever, R.Weiss
- 9:10 Sources of Gravitational Waves and  
the Scientific Case for the LIGO Project K.Thorne, B.Schutz
- 9:50 Discussion
- 10:05 Acoustic Receivers P.Michelson
- 10:30 Discussion
- 10:40 Coffee
- 10:55 Introduction to Michelson receivers.  
General description of noise sources in interferometric  
receivers R.Weiss
- 11:20 Introduction to Fabry-Perot receivers,  
recycling and resonating techniques R.Drever
- 11:45 Discussion
- 12:00 Lunch
- AFTERNOON. MEETING IN LARGE CONFERENCE ROOM
- 1:00PM Caltech prototype research R.Spero and others
- 1:50 Discussion
- 2:00 MIT prototype research J.Livas, M.Burka
- 2:50 Discussion
- 3:00 MPI/Garching prototype research R.Schilling, A.Rudiger
- 3:20 Discussion
- 3:30 Coffee
- 3:40 Glasgow prototype research J.Hough and others
- 4:00 Discussion
- 4:10 Paris/Orsay research program A.Brillet
- 4:30 Discussion
- 4:40 Space based receivers P.Bender
- 5:10 Brief comment on JK background and gravitational wave limits  
R.Weiss
- 5:15 Discussion
- 5:30 Adjourn

TUESDAY NOVEMBER 11

8:30AM Continental breakfast in Hearth area  
MEETING IN LARGE CONFERENCE ROOM

9:00 Brief scientific and political history of the LIGO project  
K.Thorne

9:20 Discussion

9:30 Designs for long baseline Michelson receivers  
to meet initial sensitivity goals R.Weiss

9.55 Discussion

10:00 Designs for long baseline Fabry-Perot receivers  
to meet initial sensitivity goals R.Drever

10:25 Discussion

10:30 Coffee

10:40 Plans to meet enhanced sensitivity and frequency goals.  
Future uses of the LIGO facilities R.Drever

11:00 Discussion

11:10 Seismic isolation and suspension systems for the  
large baseline system Caltech/MIT scientists

11:30 Discussion

11:40 Higher power sources and optical techniques for the  
large baseline system Caltech/MIT scientists

12:00 Discussion

12:10 Lunch

AFTERNOON MEETING IN LARGE CONFERENCE ROOM

1:10PM Data analysis and storage P.Linsay, B.Schutz

1:50 Discussion

2:00 Overview of the presentations to be made on the  
LIGO project F.Schutz

2:05 Essential features of the LIGO R.Weiss, R.Drever

2:25 Discussion

2:40 Conceptual design and functional requirements of the  
LIGO P.Saulson, R.Spero

3:10 Discussion

3:20 Coffee

3:30 Sites and site studies F.Schutz

3:50 Discussion

4:00 Technical management F.Schutz

4:15 Detailed engineering design study: plan, schedules, costs  
Prototype development: lasers, mirrors, vacuum components  
Projected construction: plan, schedules, estimated costs  
F.Schutz

4:50 Discussion

5:00 Scientific management K.Thorne

5:15 Discussion

5:30 Adjourn

WEDNESDAY NOV 12

MORNING FREE EXCEPT FOR WORKSHOP COMMITTEE, LIGO STEERING COMMITTEE  
AND NSF OBSERVERS

8:30AM Continental breakfast in Hearth area  
MEETING IN SMALL CONFERENCE ROOM

9:00 Committee deliberation

10:30 Coffee

12:00 Lunch for committee and observers

AFTERNOON MEETING IN AUDITORIUM OR GARDEN ROOM DEPENDING ON  
ATTENDANCE

1:00PM Squeezed state interferometers G.Leuchs

1:15 Discussion

1:20 German plans for a long baseline system G.Leuchs, A.Rudiger,  
R.Schilling

1:50 Discussion

2:00 British plans for a long baseline system I.Corbett

2:30 Discussion

2:40 French plans for a long baseline system P.Tourenco, A.Brillet

3:10 Discussion

3:20 Break (no coffee due to other activities at academy)

3:35 Discussion of effective means of international collaboration

4:00 Committee questions and general discussion

5:00 Adjourn (academy is committed after this time)

THURSDAY NOVEMBER 13

8:30AM Continental breakfast in Hearth area

MEETING IN LECTURE HALL

ROUNDTABLE ON OPTICAL TECHNOLOGY FOR GRAVITATIONAL WAVE RESEARCH  
PRESENT STATUS AND PROSPECTS

9:00	Introduction	R.Weiss R.Drever MIT Caltech
	LASERS	
9:05	Argon and Nd:Yag laser systems	T.Johnston Coherent, Inc
9:20	Nd:Yag systems	R.Byer Stanford
9:35	High power laser diode sources (talk given by Kotik Lee)	C.Roychaudhuri Perkin Elmer, Inc
9:50	High power laser research at Livermore	L.Hackel Livermore
10:05	Discussion	
10:30	Coffee	
	MIRRORS AND COATINGS	
10:40	Capabilities for grinding, testing and coating mirrors (talk given by A.Slomba)	B.Rigby Perkin Elmer, Inc
10:50	Capabilities for grinding, testing and coating mirrors	J.Hannon Kodak Inc
11:00	Superpolish and low loss coating	C.Volk Litton, Inc
11:15	Mirror figure, scattering and testing	H.Bennett China Lake
11:30	Discussion	
	ELECTROOPTICS, NON LINEAR OPTICS AND FIBERS	
11:45	Electrooptics, non linear optics and fibers	H.Kogelnik Bell Labs
12:05	Discussion	
12:20	Lunch	
AFTERNOON	COMMITTEE MEETING IN SMALL CONFERENCE ROOM GARDEN ROOM OPEN FOR PARTICIPANTS	
1:20PM	Committee deliberations	
3:30	Coffee	
5:30	Adjourn	

FRIDAY NOV 14

MORNING	COMMITTEE MEETING IN SMALL CONFERENCE ROOM
8:30 AM	Continental breakfast in Hearth Area
9:00	Committee deliberation
10:30	Coffee
12:00	Lunch
3:00PM	Adjourn

## LIST OF WORKSHOP PARTICIPANTS

## WORKSHOP COMMITTEE

D.DeBra	Stanford	B.D.McDaniel	Cornell
V.F.Fitch	Princeton	A.Sessler	Berkeley
R.L.Garwin	IBM	S.A.Teukolsky	Cornell
J.L.Hall	JILA	A.Tollestrup	NAL

## GRAVITY WAVE RESEARCH SCIENTISTS

A.Abramovici	Caltech	N.Comins	Maine(Orono)
A.Cadez	Caltech	P.Michelson	Stanford
Y.T.Chen	Caltech	A.Brillet	Orsay
R.Drever	Caltech	P.Tourrenc	Paris
R.Spero	Caltech	P.Bender	Colorado
K.Thorne	Caltech	N.Christiansen	MIT
S.Smith	Caltech	J.Livas	MIT
M.Zucker	Caltech	M.Burka	MIT
G.Leuchs	Max Planck	A.Jeffries	MIT
A.Rudiger	Max Planck	P.Linsay	MIT
R.Schilling	Max Planck	P.Saulson	MIT
J.Hough	Glasgow	R.Weiss	MIT
B.Meers	Glasgow		
B.Schutz	Cardiff		
H.Ward	Glasgow		

## LARGE BASELINE PLANNING AND ENGINEERING

I.Corbett	Rutherford Appleton
R.Elder	JPL
J.Kien	NAL
V.Lobb	JPL
F.Schutz	Caltech/MIT

## EXPERTS IN LASERS AND OPTICAL TECHNOLOGY

H.Bennett	Micheison Lab	T.Johnston	Coherent Inc
R.Byer	Stanford	H.Kogelnik	Bell Labs
S.Ezekiel	MIT	P.Silverglate	Perkin Elmer
C.Volk	Litton	J.Hannon	Kodak
Kotik Lee	Perkin Elmer	*A.Szoke	Livermore
L.Hackel	Livermore	A.Slomba	Perkin Elmer

## OBSERVERS

A.Komar	NSF	*F.Allario	NASA Langley
R.Isaacson	Illinois/NSF	M.K.Wilson	NSF
H.Willard	NSF		

\*did not attend